



OSMM&N File No. 209858US55

Dept.: E/M

By: SPW/ac

Serial No. 09/986,264

In the matter of the Application of: Pascal SIMON

For: COSMETIC ARTICLES HAVING ENCAPSULATED LIQUID AND  
METHOD OF MAKING SAME

Due Date: N/A

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☒ Dep. Acct. Order Form
- ☒ Information Disclosure Statement
- ☒ Cited References (8)
- ☒ PTO-1449 Form

COPY





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Pascal SIMON

SERIAL NO: 09/986,264

GAU: 3732

FILED: November 8, 2001

EXAMINER:

FOR: COSMETIC ARTICLES HAVING ENCAPSULATED LIQUID AND METHOD OF MAKING SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Steven P. Weihrouch

Registration No. 32,829



22850

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

209858US55

SERIAL NO.

09/986,264

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Pascal SIMON

FILING DATE

November 8, 2001

GROUP

3732

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4,725,489	02/16/1988	Jones et al.			
	AB	5,879,693	03/09/1999	Wolfe			
	AC	6,346,506	02/12/2002	Julemont			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	0 068 516	01/05/1983	Europe (w/English Abstract)		X
	AP	1 167 510	01/02/2002	Europe (English language)		
	AQ	1 266 957	12/18/2002	Europe (English language)		
	AR	1 269 985	01/02/2003	Europe (w/English Abstract)		X
	AS	WO 01/52811	07/26/2001	WIPO (English language)		
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



OSMM&N File No. 209858US55

Dept.: E/M

By: SPW/ac

Serial No. 09/986,264

In the matter of the Application of: Pascal SIMON

For: COSMETIC ARTICLES HAVING ENCAPSULATED LIQUID AND  
METHOD OF MAKING SAME

Due Date: N/A

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ✓ ■ Information Disclosure Statement
- ✓ ■ Dep. Acct. Order Form
- ✓ ■ PTO-1449 Form (four pages)
- ✓ ■ Statement of Relevancy
- ✓ ■ Cited References 134



COPY

Docket No. 209858US55



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Pascal SIMON

SERIAL NO: 09/986,264

GAU: 3732

FILED: November 8, 2001

EXAMINER:

FOR: COSMETIC ARTICLES HAVING ENCAPSULATED LIQUID AND METHOD OF MAKING SAME

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

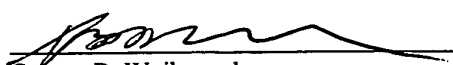
- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Steven P. Weihrouch

Registration No. 32,829



22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)  
SPW/ac

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Pascal SIMON

FILING DATE

November 8, 2001

GROUP

3732

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A	2,341,340	02/08/1944	Sternfield et al.			
	B	2,608,405	08/26/1952	Salfisberg et al.			
	C	2,663,130	12/22/1953	Donofrio			
	D	3,196,478	07/27/1965	Baymiller, et al			
	E	3,334,374	08/08/1967	Watkins			
	F	3,557,525	01/26/1971	Bauder			
	G	3,691,270	09/12/1972	Charle et al.			
	H	3,691,090	09/12/1972	Kitajima et al.			
	I	3,731,451	05/08/1973	Sexstone et al.			
	J	3,795,081	03/05/1974	Brown, Jr. et al.			
	K	3,849,965	11/26/1974	Dominici			
	L	3,881,210	05/06/1975	Drach et al.			
	M	3,992,854	11/23/1976	Howell et al.			
	N	4,043,098	08/23/1977	Putnam, Jr. et al.			
	O	4,045,364	08/30/1977	Richter			
	P	4,117,647	10/03/1978	Rossi			
	Q	4,118,913	10/10/1978	Putnam, Jr. et al.			
	R	4,136,505	01/30/1979	Putnam, Jr. et al.			
	S	4,206,196	06/03/1980	Davis			
	T	4,259,383	03/31/1981	Eggensperger et al.			
	U	4,303,543	12/01/1981	Mansy			
	V	4,397,754	08/09/1983	Collishaw et al.			
	W	4,446,677	05/08/1984	Kokido			
	X	4,460,563	07/17/1984	Calanchi			
	Y	4,462,135	07/31/1984	Sanford			
	Z	4,462,981	07/31/1984	Smith			
	AA	4,471,871	09/18/1984	Rockcliffe et al.			
	AB	4,515,703	05/07/1985	Haq			
	AC	4,559,157	12/17/1985	Smith et al.			
	AD	4,603,069	07/29/1986	Haq et al.			
	AE	4,636,419	01/13/1987	Madsen et al.			
	AF	4,738,287	04/19/1988	Klinkel			
	AG	4,752,496	06/21/1988	Fellows et al.			
	AH	4,758,467	07/19/1988	Lempriere			
	AI	4,782,647	11/08/1988	Williams et al.			
	AJ	4,946,617	08/07/1990	Sheridan et al.			
	AK	4,948,585	08/14/1990	Schlein			
	AL	4,973,422	11/27/1990	Schmidt			
	AM	4,987,632	01/29/1991	Rowe et al.			
	AN	5,051,304	09/24/1991	David et al.			
	AO	5,055,216	10/08/1991	Johnson			

APR 27 2004

	AP	5,144,744	09/08/1992	Campagnoli			
	AQ	5,213,884	05/25/1993	Fellows			
	AR	5,261,211	11/16/1993	Hartzell et al.			
	AS	5,281,306	01/25/1994	Kakiuchi et al.			
	AT	5,361,560	11/08/1994	Sandolo			
	AU	5,620,694	04/15/1997	Girardot			
	AV	5,639,532	06/17/1997	Wells			
	AW	5,698,475	12/16/1997	Vlasblom			
	AX	5,702,992	12/30/1997	Martin et al.			
	AY	5,762,946	06/09/1998	Gueret			
	AZ	5,955,417	09/21/1999	Taylor			
	BA	5,972,361	10/26/1999	Fowler et al.			
	BB	5,977,039	11/02/1999	Gordon et al.			
	BC	5,980,924	11/09/1999	Yamazaki et al.			
	BD	5,980,931	11/09/1999	Fowler et al.			
	BE	6,060,149	05/09/2000	Nissing et al.			
	BF	6,063,397	05/16/2000	Fowler et al.			
	BG	6,074,655	06/13/2000	Fowler et al.			
	BH	6,103,644	08/15/2000	Sheridan			
	BI	6,132,746	10/17/2000	Hasenoehtl et al.			
	BJ	6,145,155	11/14/2000	James et al.			
	BK	6,153,208	11/28/2000	McAtee et al.			
	BL	6,156,323	12/05/2000	Verdicchio et al.			
	BM	6,159,487	12/12/2000	Znaden et al.			
	BN	6,180,214	01/30/2001	Nissing et al.			
	BO	6,183,763	02/06/2001	Beerse et al.			
	BP	6,190,678	02/20/2001	Hasenoehtl et al.			
	BQ	6,197,315	03/06/2001	Beerse et al.			
	BR	6,206,863	03/27/2001	Skewes et al.			
	BS	6,217,854	04/17/2001	Farrell et al.			
	BT	6,217,889	04/17/2001	Lorenzi et al.			
	BU	6,245,697	06/12/2001	Conrad et al.			
	BV	6,245,732	06/12/2001	Gallon et al.			
	BW	6,248,202	06/19/2001	Corzani et al.			
	BX	6,248,709	06/19/2001	Kandasamy et al.			
	BY	6,251,845	06/26/2001	Herbots et al.			
	BZ	6,258,368	07/10/2001	Beerse et al.			
	CA	6,267,975	07/31/2001	Smith, III et al.			
	CB	6,270,875	08/07/2001	Nissing			
	CC	6,270,878	08/07/2001	Wegele et al.			
	CD	6,280,757	08/28/2001	McAtee et al.			
	CE	6,284,259	09/04/2001	Beerse et al.			
	CF	6,290,979	09/18/2001	Roe et al.			
	CG	6,322,271	11/27/2001	Girardot et al.			
	CH	6,322,801	11/27/2001	Lorenzi et al.			
	CI	6,338,855	01/15/2002	Albacarys et al.			
	CJ	6,391,835	05/21/2002	Gott et al.			
	CK	6,413,529	07/02/2002	Beerse et al.			
	CL	6,428,779	08/06/2002	Sauermann et al.			

APR 27 2004

	CM	6,482,423	11/19/2002	Beerse et al.			
	CN	6,488,943	12/03/2002	Beerse et al.			
	CO	6,491,933	12/10/2002	Lorenzi et al.			
	CP	6,495,151	12/17/2002	McAtee et al.			
	CQ						
	CR						
	CS						
	CT						
	CU						
	CV						
	CW						
	CX						
	CY						
	CZ						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	DA	197 44 213	04/08/1999	Germany (w/English Abstract)		X
	DB	1 304 375	01/24/1973	England	X	
	DC	0 314 340	05/03/1989	Europe	X	
	DD	0 353 013	01/31/1990	Europe	X	
	DE	0 353 014	01/31/1990	Europe	X	
	DF	1 414 936	09/13/1965	France		X
	DG	2 795 928	01/12/2001	France (w/English Abstract)		X
	DH	5-285210	11/02/1993	Japan (w/English Abstract)		X
	DI	63-97699	04/28/1988	Japan		X
	DJ	8-325117	12/10/1996	Japan (w/English Abstract)		X
	DK	2 233 886	01/23/1991	United Kingdom	X	
	DL	93/21899	11/11/1993	WIPO	X	
	DM	94/27569	12/08/1994	WIPO	X	
	DN	95/00116	01/05/1995	WIPO	X	
	DO	97/07781	03/06/1997	WIPO	X	
	DP	98/18445	05/07/1998	WIPO	X	
	DQ	98/18446	05/07/1998	WIPO	X	
	DR	98/18447	05/07/1998	WIPO	X	
	DS	98/52537	11/26/1998	WIPO	X	
	DT	98/52538	11/26/1998	WIPO	X	
	DU	99/12519	03/18/1999	WIPO	X	
	DV	99/21532	05/06/1999	WIPO	X	
	DW	99/55303	11/04/1999	WIPO	X	
	DX	01/03538	01/18/2001	WIPO (w/ English Abstract)		X
	DY	01/08542	02/08/2001	WIPO	X	
	DZ	01/08640	02/08/2001	WIPO	X	
	EA	01/08641	02/08/2001	WIPO	X	
	EB	01/08655	02/08/2001	WIPO	X	
	EC	01/08656	02/08/2001	WIPO	X	
	ED	01/08658	02/08/2001	WIPO	X	
	EE	01/35905	05/25/2001	WIPO	X	
	EF	01/35906	05/25/2001	WIPO	X	
	EG	01/35923	05/25/2001	WIPO	X	



	EH	01/35924	05/25/2001	WIPO	X	
	EI	01/38174	05/31/2001	WIPO (w/English Abstract)		X
	EJ	01/54661	08/02/2001	WIPO	X	
	EK	01/78672	10/25/2001	WIPO	X	
	EL	01/78679	10/25/2001	WIPO	X	
	EM	02/092050	11/21/2002	WIPO	X	
	EN	02/092052	11/21/2002	WIPO	X	
	EO					
	EP					
	EQ					
	ER					
	ES					
	ET					
	EU					
	EV					
	EW					
	EX					
	EY					
	EZ					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.